

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addiese: COMMISSIONER FOR PATENTS P O Box 1430 Alexandria, Virginia 22313-1450 www.wepto.gov

10/583,466	CONFIRMATION NO	ATTORNEY DOCKET NO.	FIRST NAMED INVENTOR	FILING DATE	APPLICATION NO.
Amold & Porter LLP (24126) Attn: SV Docketing Dept. 1400 Page Mill Road Palo Alto, CA 94304 AKTUNIT AKTUNIT	3697	GNE-5201 (24126.286)	Stephen Jay Anderson	09/05/2007	10/583,466
Attr. SV Docketing Dept. Shen, wu chen 1400 Page Mil Road Palo Alto, CA 94304 Art unit	EXAMINER				
Palo Alto, CA 94304	NG WINSTON	SHEN, WU CHE		eting Dept.	Attn: SV Dock
1632	PAPER NUMBER	ART UNIT			
		1632	1632		
NOTIFICATION DATE 10/22/2010	DELIVERY MODE ELECTRONIC				

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

SV.Docketing@aporter.com

Advisory Action Before the Filing of an Appeal Brief

	Application No.	Applicant(s)					
	10/583,466	ANDERSON ET AL.					
	Examiner	Art Unit					
	WU-CHENG Winston SHEN	1632					

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

- 1. X The reply was filed after a final rejection, but prior to or on the same day as filing a Notice of Appeal. To avoid abandonment of this application, applicant must timely file one of the following replies: (1) an amendment, affidavit, or other evidence, which places the application in condition for allowance; (2) a Notice of Appeal (with appeal fee) in compliance with 37 CFR 41.31; or (3) a Request for Continued Examination (RCE) in compliance with 37 CFR 1.114. The reply must be filed within one of the following time periods:
 - The period for reply expires _____months from the mailing date of the final rejection. a)
 - b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection.

Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).

Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

NOTICE OF APPEAL

2. The Notice of Appeal was filed on ... A brief in compliance with 37 CFR 41.37 must be filed within two months of the date of filing the Notice of Appeal (37 CFR 41.37(a)), or any extension thereof (37 CFR 41.37(e)), to avoid dismissal of the appeal. Since a Notice of Appeal has been filed, any reply must be filed within the time period set forth in 37 CFR 41.37(a).

AMENDMENTS

- 3. X The proposed amendment(s) filed after a final rejection, but prior to the date of filing a brief, will not be entered because (a) ☑ They raise new issues that would require further consideration and/or search (see NOTE below);
 (b) ☐ They raise the issue of new matter (see NOTE below);

 - (c) They are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for
 - appeal; and/or (d) They present additional claims without canceling a corresponding number of finally rejected claims.
 - NOTE: See Continuation Sheet. (See 37 CFR 1.116 and 41.33(a)).
- The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324).
- Applicant's reply has overcome the following rejection(s):
- 6. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the
- non-allowable claim(s). 7. X For purposes of appeal, the proposed amendment(s): a) X will not be entered, or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended.
 - The status of the claim(s) is (or will be) as follows:
 - Claim(s) allowed:
 - Claim(s) objected to:
 - Claim(s) rejected: 272,273,280-284 and 291. Claim(s) withdrawn from consideration: 288.
- AFFIDAVIT OR OTHER EVIDENCE
- 8. The affidavit or other evidence filed after a final action, but before or on the date of filing a Notice of Appeal will not be entered because applicant failed to provide a showing of good and sufficient reasons why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e).
- 9. The affidavit or other evidence filed after the date of filing a Notice of Appeal, but prior to the date of filing a brief, will not be entered because the affidavit or other evidence failed to overcome all rejections under appeal and/or appellant fails to provide a showing a good and sufficient reasons why it is necessary and was not earlier presented. See 37 CFR 41.33(d)(1).
- 10. The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached.
- REQUEST FOR RECONSIDERATION/OTHER
- 11. The request for reconsideration has been considered but does NOT place the application in condition for allowance because: See Continuation Sheet.
- Note the attached Information Disclosure Statement(s), (PTO/SB/08) Paper No(s). 13. Other:
- /Wu-Cheng Winston Shen/

Primary Examiner, Art Unit 1632

Continuation of 3. NOTE: The proposed amendments in independent claim 272 limits "non-human transgenic mammal" to "transgenic mouse" and further limits the phenotype to "eye abnormality". Dependent claim 273 is proposed to recite "eye abnormality is an eye abnormality related to atheroscierosis". Claim 280 is proposed to become a dependent claim of claim 273. Claim 280, which recites "eye abnormality comprises optical atrophy", is proposed to be cancelled. These proposed claim anendments raise new issues that would require further consideration with regard to possible scope of enablement under 35 USC 112 first paragraph. Additionally, the proposed amendments of phenotype recited in claim 273 requires further consideration in exercision in term of elected species "refinal abnormativ" as the otherologic currently under examination.

Continuation of 11, does NOT place the application in condition for allowance because:

- (i) Applicant's arguments have failed to overcome the objection of claim 273 under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim BECAUSE Applicant's arguments rely on the proposed claim amendments, which have not been entered. The objection is maintained of the record.
- (ii) Applicant's arguments have failed to overcome the objection of claim 272 for the following informalities: Lines 2 and 14 of claim 272 recite "PR0224" whereas line 5 of claim 272 recites "PR0224" BECAUSE Applicant's arguments rely on the proposed claim amendments, which have not been entered. The objection is maintained of the record.
- (iii) Applicant's arguments have failed to overcome the rejection of claims 272, 273, 280-284, and 291 under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention BECAUSE Applicant's arguments rely on the proposed claim amendments, which have not been entered. The rejection is maintained of the record.
- (iv) Applicant's arguments have failed to overcome the rejection of claims 272, 273, 280-284, and 291 under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably control one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention BECAUSE Applicant's arguments rely on the proposed claim amendments, which have not been entered. The rejection is maintained for the record.
- (v) Applicant's arguments have failed to overcome the rejection of claims 272, 273, 280-284, and 291 under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement as the claim(s) contains subject matter, which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention BECAUSE Applicant's arguments rely on the proposed claim amendments, which have not been entered. The rejection is maintained of the record.